## Introduction to Multimedia Compression - Midterm

Name: $\qquad$ ID: $\qquad$

Note: 20 points for each problem.

1. Suppose we have a source with a probability model $P=\left\{p_{0}, p_{1}, \ldots, p_{m}\right\}$ and entropy $H_{P}$.

Suppose we have another source with probability model $Q=\left\{q_{0}, q_{1}, \ldots, q_{m}\right\}$ and entropy $H_{Q}$, where

$$
q_{i}=p_{i}, i=0,1, \ldots, j-2, j+1, \ldots, m
$$

and

$$
q_{j}=q_{j-1}=\left(p_{j}+p_{j-1}\right) / 2 .
$$

How is $H_{Q}$ related to $H_{P}$ (greater, equal, or less)? Prove your answer.

## [Solution]

Problem 4.

$$
\begin{aligned}
H_{Q}-H_{P} & =-\sum_{i=1}^{m} q_{i} \log _{2} q_{i}+\sum_{i=1}^{m} p_{i} \log _{2} p_{i} \\
& =-q_{j-1} \log _{2} q_{j-1}-q_{j} \log _{2} q_{j}+p_{j-1} \log _{2} p_{j-1}+p_{j} \log _{2} p_{j}
\end{aligned}
$$

Given a function

$$
f_{a}(x)=-x \log x-(a-x) \log (a-x)
$$

we can easily show that $f_{a}(x)$ is maximum for $x=\frac{a}{2}$ Let

$$
p_{j-1}+p_{j}=c
$$

then

$$
q_{j-1}=q_{j}=\frac{c}{2}
$$

Then

$$
\begin{align*}
H_{Q}-H_{P} & =-\frac{c}{2} \log _{2} \frac{c}{2}-\frac{c}{2} \log _{2} \frac{c}{2}+p_{j} \log _{2} p_{j}+\left(c-p_{j}\right) \log _{2}\left(c-p_{j}\right)  \tag{1}\\
& =f_{c}\left(\frac{c}{2}\right)-f_{c}\left(p_{j}\right) \\
& \geq 0
\end{align*}
$$

Therefore $H_{Q} \geq H_{P}$.
2. A source has symbol probabilities $p(a)=0.4, p(b)=0.1, p(c)=0.3$, and $p(d)=0.2$.
a) Find a Huffman code for the source.
b) Design a 4-bit Tunstall code for the source.

## [Solution]

a)

| Symbol | Step 1 | Step 2 | Step 3 | Code |
| :---: | :---: | :---: | :--- | :--- |
| $a$ | 0.4 | 0.4 | 0.6 | 1 |
| $c$ | 0.3 | $\longrightarrow 0.3$ | 0.4 | 00 |
| $d$ | 0.2 | 0.3 |  | 010 |
| $b$ | 0.1 |  |  | 011 |

b)

| Initial list: |  |
| :---: | :---: |
| Letter | Prob. |
| $a$ | 0.4 |
| $b$ | 0.1 |
| $c$ | 0.3 |
| $d$ | 0.2 |
|  |  |
|  |  |
|  |  |
|  |  |


| First iteration: |  |
| :---: | :---: |
| Letters | Prob. |
| $b$ | 0.1 |
| $c$ | 0.3 |
| $d$ | 0.2 |
| $a a$ | 0.16 |
| $a b$ | 0.04 |
| $a c$ | 0.12 |
| $a d$ | 0.08 |
|  |  |


| Second iteration: |  |
| :---: | :---: |
| Letter | Prob. |
| $b$ | 0.1 |
| $d$ | 0.2 |
| $a a$ | 0.16 |
| $a b$ | 0.04 |
| $a c$ | 0.12 |
| $a d$ | 0.08 |
| $c b$ | 0.03 |
| $c c$ | 0.09 |
| $c d$ | 0.06 |
| $c a a$ | 0.048 |
| $c a b$ | 0.012 |
| $c a c$ | 0.036 |
| $c a d$ | 0.024 |


| Third iteration: |  |  |
| :---: | :---: | :---: |
| Letter | Prob. | Code |
| $b$ | 0.1 | 0000 |
| $a a$ | 0.16 | 0001 |
| $a b$ | 0.04 | 0010 |
| $a c$ | 0.12 | 0011 |
| $a d$ | 0.08 | 0100 |
| $c b$ | 0.03 | 0101 |
| $c c$ | 0.09 | 0110 |
| $c d$ | 0.06 | 0111 |
| $d a$ | 0.08 | 1000 |
| $d b$ | 0.02 | 1001 |
| $d c$ | 0.06 | 1010 |
| $d d$ | 0.04 | 1011 |
| $c a a$ | 0.048 | 1100 |
| $c a b$ | 0.012 | 1101 |
| $c a c$ | 0.036 | 1110 |
| $c a d$ | 0.024 | 1111 |

3. Given a number $a$ in the interval $[0,1)$ with an $n$-bit binary representation $\left[b_{1} b_{2} \ldots b_{n}\right]$, show that for any other number $b$ to have a binary representation with $\left[b_{1} b_{2} \ldots b_{n}\right]$ as the prefix, $b$ has to lie in the interval $\left[a, a+1 / 2^{n}\right.$ ).

## [Solution]

The number $a$ can be expressed as:

$$
a=b_{1} \cdot 2^{-1}+b_{2} \cdot 2^{-2}+\ldots+b_{n} \cdot 2^{-n} .
$$

If $b$ also has a binary representation $\left[b_{1} b_{2} \ldots b_{n}\right]$ as prefix, then

$$
b=b_{1} \cdot 2^{-1}+b_{2} \cdot 2^{-2}+\ldots+b_{n} \cdot 2^{-n}+b_{n+1} \cdot 2^{-(n+1)}+\ldots
$$

Therefore,

$$
b-a=b_{n+1} \cdot 2^{-(n+1)}+\ldots
$$

Obviously $b-a \geq 0$ and $b \geq a$.
On the other hand,

$$
\begin{aligned}
b-a & =b_{n+1} 2^{-(n+1)}+b_{n+2} 2^{-(n+2)}+\ldots \\
& \leq 2^{-(n+1)}+2^{-(n+2)}+\ldots \\
& <\frac{1}{2^{n}} .
\end{aligned}
$$

Therefore, $b<a+1 / 2^{n}$.

## Introduction to Multimedia Compression - Midterm

4. A sequence is encoded using the LZW algorithm and the initial dictionary shown in the following table.

| Index | Entry |
| :---: | :---: |
| 1 | $a$ |
| 2 | $c$ |
| 3 | $r$ |
| 4 | $y$ |
| 5 | $\Delta$ |

The output of the LZW encoder is the following sequence: $1,5,2,1,3,5,9,3,1,4,7$. Please decode the message.

## [Solution]

The decoded dictionary is:

| Index | Entry |
| :---: | :---: |
| 1 | $a$ |
| 2 | $c$ |
| 3 | $r$ |
| 4 | $y$ |
| 5 | $\Delta$ |
| 6 | $a \Delta$ |
| 7 | $\Delta c$ |
| 8 | $b a$ |
| 9 | $a r$ |
| 10 | $r \Delta$ |
| 11 | $\Delta a$ |
| 12 | $a r r$ |
| 13 | $r a$ |
| 14 | $a y$ |
| 15 | $y \Delta$ |

The decoded message is a
5. We try to encode the sequence cat $\Delta$ ate $\Delta h a t$ using ppma with maximal context length $N=1$ and an integer arithmetic code with a word length of 6 . The alphabet set is $\{h, e, t, a, c, \Delta\}$. Assume that we have finished encoding of cat Aate $\Delta$ and obtained the following context table:

| order | context | symbol occurrence counts |  |  |  |  |  |  | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $h$ | $e$ | $t$ | $a$ | $c$ | $\Delta$ | <ESC $>$ |  |
|  | $c$ | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 2 |
|  | $a$ | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 3 |
| 1 | $t$ | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 3 |
|  | $\Delta$ | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 2 |
|  | $e$ | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 2 |
|  |  |  |  |  | 1 | 2 | 2 | 1 | 2 |
| 1 | 1 | 9 |  |  |  |  |  |  |  |
| 0 | $/$ | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| -1 | $/$ | 1 |  |  |  |  |  |  |  |

Note that in the context table, the cumulative count is calculated from left-to-right. For example, the zero-order context has cumulative count as follows: $h=0, e=1, t=3, a=5, c=6$, and $\Delta=8$.
The transmitted sequence after encoding of cat Aate $\Delta$ is 11000011111101100000100 and the current lower and upper bounds are $l=011100$ and $u=110011$. Please encode the next letter $h$ and write down the newly transmitted bits for $h$ and the updated lower and upper bounds.
Hint: For an integer AC implementation, the message interval can be updated by:

$$
\begin{aligned}
& l^{(n)}=l^{(n-1)}+\left\lfloor\left(u^{(n-1)}-l^{(n-1)}+1\right) \times \text { cum_count }\left(x_{n}-1\right) / \text { total_count }\right\rfloor, \\
& u^{(n)}=l^{(n-1)}+\left\lfloor\left(u^{(n-1)}-l^{(n-1)}+1\right) \times \text { cum_count }\left(x_{n}\right) / \text { total_count }\right\rfloor-1 .
\end{aligned}
$$

[Solution]
No $h$ in $1^{\text {st }}$ order context of $\Delta$, encode $\langle E S C\rangle, l=101000$, and $u=110011 \rightarrow E_{2}$ scale.
Transmitted sequence: ${ }^{* * * *} \underline{1}$.
Updated bounds: $l=010000, u=100111 \rightarrow E_{3}$ scale.
Updated bounds: $l=000000, u=101111, E_{3}$ count $=1$.
No 0 order context for $h$, encode $\langle E S C\rangle, l=101010, u=101111 \rightarrow E_{2}$ scale.
Transmitted sequence: ${ }^{* * * *} \underline{110}$.
Updated bounds: $l=010100, u=011111, E_{3}$ count $=0 \rightarrow E_{1}$ scale.
Transmitted sequence: ${ }^{* * * * \underline{1100} .}$
Updated bounds: $l=101000, u=111111 \rightarrow E_{2}$ scale.
Transmitted sequence: $* * * * 11001$.
Updated bounds: $l=010000, u=111111$.
Use -1 order context to encode $h, l=010000, u=010111 \rightarrow E_{1}+E_{2}+E_{1}$ scales.
Transmitted sequence: ${ }^{* * * *} \underline{11001010}$.
Updated bounds: $l=000000, u=111111$.

